

## **Exhibit 13**

## Exhibit 13 -

## Example of PW Transaction for BLMIS Account 1A0028

1-00118-1-0

[illegible]



# Exhibit 13 - Example of PW Transaction - Other Distributions for BLMIS Account 1A0028

11/30/83 1-00118-1-0

T/DT	S/DT	LONG	SHORT	DEBIT	CREDIT
BALANCE FORWARD					157,948.18
NEW BALANCE					157,948.18

  

SECURITY POSITIONS	LONG	SHORT	DIFFERENCE
GENERAL GROWTH PPTYS WT EXP 01/04/1985	5627	24,998.13	
GENERAL GROWTH PPTYS PFD SH BEN INT SER A CONV 1.90	5627	132,937.88	
GENERAL GROWTH PPTYS UT 1PFD A SO & 1WT 1/4/85	5627	153,335.75	4,600.26CR
END OF POSITIONS	153,335.75	157,936.01	12.17CR

  

SECURITY POSITIONS	LONG	SHORT	DIFFERENCE
GENERAL GROWTH PPTYS WT EXP 01/04/1985	5627	24,998.13	
GENERAL GROWTH PPTYS PFD SH BEN INT SER A CONV 1.90	5627	132,937.88	
GENERAL GROWTH PPTYS UT 1PFD A SO & 1WT 1/4/85	5627	153,335.75	4,600.26CR
END OF POSITIONS	153,335.75	157,936.01	12.17CR



# Exhibit 13 - Example of PW Transaction - Other Distributions for BLMIS Account 1A0028

12/30/83

1-00118-1-0

T/DT	S/DT	LONG	SHORT	DEBIT	CREDIT
BALANCE FORWARD					157,948.18
12/01	12/01	5627	GENERAL GROWTH PPTYS	DELV	
12/01	12/01	2587	UT 1PFD A \$0 & 1WT 1/4/85	RECD	
12/01	12/01	3040	GENERAL GROWTH PPTYS	RECD	
12/01	12/01	5627	WT EXP 01/04/1985	RECD	
12/01	12/01		GENERAL GROWTH PPTYS	RECD	
12/01	12/01		WT EXP 01/04/1985	RECD	
12/01	12/01		PFD SH BEN INT SER A CONV 1.90		
12/01	12/01		CHECK TO BE REINVESTED	PW	652.08
12/01	12/01		REINVESTED	CA	652.08
12/02	12/09	166 345	TRW INC	80 3/4	13,404.50
12/02	12/09	1900 445	TRW INC	80 7/8	145,575.00
12/09	12/09		TRW INC	JRNL	64.60
12/14	12/14		FRACTIONAL SHARES		
12/14	12/14		CHECK	PW	3,948.18
NEW BALANCE					159,052.60
SECURITY POSITION					
TRW INC 1956					
TRW INC 894					
PREF SER 1 CONV \$4.40					
END OF POSITIONS					

12/01	12/01	5627	GENERAL GROWTH PPTYS	DELV
12/01	12/01	2587	UT 1PFD A \$0 & 1WT 1/4/85	RECD
12/01	12/01	3040	GENERAL GROWTH PPTYS	RECD
12/01	12/01	5627	WT EXP 01/04/1985	RECD
12/01	12/01	5627	GENERAL GROWTH PPTYS	RECD
12/01	12/01	5627	WT EXP 01/04/1985	RECD
12/01	12/01	5627	PFD SH BEN INT SER A CONV 1.90	RECD

12/01	12/01	CHECK TO BE REINVESTED	PW	652.08
12/01	12/01	REINVESTED	CA	652.08

## Profit Withdrawn

12/14	12/14	CHECK	PW	3,948.18
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# Exhibit 13 - Example of PW Transaction - Other Distributions for BLMIS Account 1A0028

1/31/84

1-00118-1-0

1/31/84

1-00118-1-0

T/DT	S/DT	LONG	SHORT	DEBIT	CREDIT
BALANCE FORWARD					
1/27	1/27		394	TRW INC PREF SER 1 CONV \$4.40	159,052.60
1/27	1/27	1800		DELV	
1/27	1/27	166		RECD RECD	
NEW BALANCE					
					159,052.60

1/27	1/27	394	TRW INC		DELV
1/27	1/27	1800	PREF SER 1 CONV \$4.40		RECD
1/27	1/27	166	TRW INC		RECD

MF00358542

MF00358542



# Exhibit 13 - Example of PW Transaction - Other Distributions for BLMIS Account 1A0028

PORTFOLIO MANAGEMENT REPORT AS OF 12/31/83 PAGE 6 MF00092659

ACCOUNT [REDACTED] REPORT FOR THE PERIOD FROM 1/01/83 TO 12/31/83

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INITIAL INVESTMENT	405,211.70CR
ADJUSTMENTS TO INITIAL INVESTMENT	
CAPITAL ADDITIONS	
CAPITAL WITHDRAWALS	
NET WORKING CAPITAL	405,211.70CR
EXPECTED RATE OF RETURN 32%	
EXPECTED RETURN FOR 365 DAYS	129,312.44CR
REALIZED P/L	121,812.12CR ✓
UNREALIZED P/L	
PROFITS WITHDRAWN	
OVER/UNDER EXPECTED RETURN	7,500.32-
CURRENT CASH BALANCE	553,277.77CR
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	26,353.95CR
TOTAL EQUITY	527,023.82CR

ACCOUNT [REDACTED] REPORT FOR THE PERIOD FROM 1/01/83 TO 12/31/83

5

INITIAL INVESTMENT	276,510.97CR
ADJUSTMENTS TO INITIAL INVESTMENT	
CAPITAL ADDITIONS	
CAPITAL WITHDRAWALS	
NET WORKING CAPITAL	
EXPECTED RATE OF RETURN 32%	
EXPECTED RETURN FOR 365 DAYS	87,513.40CR /
REALIZED P/L	
UNREALIZED P/L	
PROFITS WITHDRAWN	35,699.40
OVER/UNDER EXPECTED RETURN	
CURRENT CASH BALANCE	18,937.32
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	398,724.83CR
TOTAL EQUITY	

ACCOUNT # 1-00118-1-0 REPORT FOR THE PERIOD FROM 1/01/83 TO 12/31/83

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INITIAL INVESTMENT	125,000.00CR
ADJUSTMENTS TO INITIAL INVESTMENT	4,683.52-
CAPITAL ADDITIONS	29,000.00CR
CAPITAL WITHDRAWALS	
NET WORKING CAPITAL	154,000.00CR
EXPECTED RATE OF RETURN 24%	
EXPECTED RETURN FOR 365 DAYS	34,703.05CR
REALIZED P/L	31,015.88CR ✓
UNREALIZED P/L	2,000.85CR
PROFITS WITHDRAWN	35,699.40
OVER/UNDER EXPECTED RETURN	1,677.32-
CURRENT CASH BALANCE	159,052.60CR
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	3,042.75CR
TOTAL EQUITY	156,009.85CR